

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Satoshi TAKAGI et al.  
Serial No.: 10/009,152  
Filed: May 7, 2002  
For: ASSET MANAGEMENT SYSTEM AND ASSET  
MANAGEMENT METHOD  
Examiner: Baig, Sahar A  
Art Unit: 2424  
Confirmation No.: 8644

745 Fifth Avenue  
New York, NY 10151

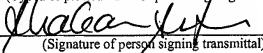
**CERTIFICATE OF ELECTRONIC FILING**

Date of Transmission: September 14, 2010

I hereby certify that this correspondence is being transmitted via  
Electronic Filing Services on the date indicated above.

Shabana Alli-Arjune

(Typed or printed name of person signing transmittal)

  
(Signature of person signing transmittal)

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (d)**  
**AND CERTIFICATION UNDER 37 C.F.R. § 1.97 (e)**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants are filing this Information Disclosure Statement and the accompanying form PTO/SB/08a and 08b along with copies of the prior art therein identified. Such prior art has come to the attention of applicants by being cited in an Office Action issued in the corresponding Japanese application on

June 15, 2010. The English translation of the Office Action is enclosed for showing the relevance of any of the cited references that may be in a foreign language. This Information Disclosure Statement is being filed after receipt of the Final Office Action mailed June 8, 2010.

Applicants submit herewith an electronic payment in the amount of \$180.00 as set forth in 37 C.F.R. 1.17(p).

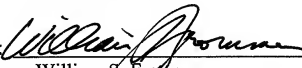
As is apparent in said Office Action, applicants' undersigned attorney hereby certifies pursuant to 37 C.F.R. 1.97 (e) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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